

## Spectrum preserving linear maps between Banach Algebras

A. Taghavi<sup>1</sup> and R. Parvinianzadeh<sup>2</sup>

<sup>1</sup> Department of Mathematics, Faculty of Basic Sciences, University of Mazandaran, P. O. Box 47416-1468, Babolsar, Iran.

<sup>2</sup> Department of Mathematics, Faculty of Basic Sciences, Yasouj University, P. O. Box 75918-74831, Yasouj, Iran.

**ABSTRACT.** In this paper we show that if  $A$  is a unital Banach algebra,  $B$  is a purely infinite  $C^*$ -algebra such that has a non-zero commutative maximal ideal and  $\phi : A \rightarrow B$  is a unital surjective spectrum preserving linear map, then  $\phi$  is a Jordan homomorphism.

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### 1. INTRODUCTION AND PRELIMINARIES

All algebras we deal with in this paper are complex and unital. The identity element of an algebra  $A$  will be denoted  $e$ , or  $e_A$ , for distinction. For a given algebra  $A$  and  $a \in A$ ,  $\sigma(a)$  and  $r(a)$  will denote the *spectrum* and the *spectral radius* of  $a$ , respectively. Let  $A$  and  $B$  be two Banach algebras. A linear map  $\phi : A \rightarrow B$  is said to be spectrum preserving if  $\sigma(\phi(a)) = \sigma(a)$  for all  $a \in A$ . Furthermore,  $\phi$  is said to be *unital* if  $\phi(e_A) = e_B$  and it is called *invertibility preserving* if  $\phi(a)$  is invertible in  $B$  whenever  $a$  is invertible in  $A$ . Now, if  $A$  is a Banach algebra, the set of all non-zero complex homomorphisms of  $A$  is a compact Hausdorff space in its usual (weak\*) topology, the so-called Gelfand topology. This

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<sup>1</sup> Corresponding author: Taghavi@umz.ac.ir  
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space will be called the maximal ideal space of  $A$ , and it will be denoted by  $M_A$ .

Spectrum preserving linear mappings were studied for the first time by G. Frobenius [8]. He proved that a surjective linear mapping  $\varphi : M_n(\mathbb{C}) \rightarrow M_n(\mathbb{C})$  which preserves the spectrum has one of the forms  $\varphi(T) = ATA^{-1}$  or  $\varphi(T) = A^tTA^{-1}$  for some invertible  $A$ . In [12] Jafarian and Sourour proved that a surjective linear map preserving spectrum from  $B(X)$  onto  $B(Y)$  is either an isomorphism or an anti-isomorphism where  $X$  and  $Y$  are complex Banach spaces and  $B(X)$  is the Banach algebra of all bounded linear operators acting on  $X$ . The following conjecture seems to be still open:

Any spectrum-preserving linear map from a unital Banach algebra onto a unital semi-simple (non-commutative) Banach algebra that preserves the unit is a Jordan homomorphism, (Kaplansky's conjecture).

The G-K-Z Theorem ([15], [14]) asserts that a unital linear functional defined on a Banach algebra is multiplicative if it is invertibility preserving and the theorem has inspired a number of papers on more general preserver problems. It is a straightforward conclusion of the G-K-Z Theorem that a unital and invertibility preserving linear map from a Banach algebra into a semi-simple commutative Banach algebra is a homomorphism. This conjecture is still unsolved. The most important partial results obtained this direction are [1], [2], [4], [6], [9], [10], [11], [12], [13], [19], [20], [21], [22].

Recently Aupetit [2] showed that a spectrum preserving surjective linear map from a von Neumann algebra onto another is a Jordan isomorphism.

A  $C^*$ -subalgebra  $B$  of  $A$  is hereditary if  $0 \leq a \leq b, a \in A, b \in B$  implies  $a \in B$ . A projection in a  $C^*$ -algebra  $A$  is called infinite if it is equivalent to a proper subprojection of itself. A  $C^*$ -algebra is purely infinite if every hereditary subalgebra contains an infinite projection.

In this paper we show that if  $A$  is a unital Banach algebra,  $B$  is a purely infinite  $C^*$ -algebra such that has a non-zero commutative maximal ideal and  $\phi : A \rightarrow B$  is a unital surjective spectrum preserving linear map, then  $\phi$  is a Jordan isomorphism (Theorem 2.2).

There are many results on the conjecture of Kaplansky. One of the most important results is [2, Theorem 1.3] of Aupetit. Among other theorems, Lawrence Harris proved the following.

**Theorem 1.1.** [9] *Let  $A$  be a unital Banach algebra,  $B$  be a unital semi-simple commutative Banach algebra and  $\phi : A \rightarrow B$  is a unital invertibility preserving linear map. Then  $\phi$  is a continuous multiplicative.*

**Theorem 1.2.** [17] *Let  $A$  be a  $C^*$ -algebra, and  $a \in A$ . Then there is an irreducible representation  $\pi$  of  $A$  such that  $\|a\| = \|\pi(a)\|$ .*

*Remark 1.3.* By Theorem 5.1.6 (2) in [17], it follows that if  $A$  is a non-commutative  $C^*$ -algebra, then irreducible representations  $\pi$  in Theorem 1.2 has dimension greater than 1.

**Theorem 1.4.** [16] *Let  $\phi : A \rightarrow B$  be a unital surjective spectrally bounded operator from a unital  $C^*$ -algebra  $A$  onto a unital semisimple Banach algebra  $B$ . If  $A$  is a purely infinite  $C^*$ -algebra of real rank zero, then  $\phi$  is a Jordan homomorphism.*

## 2. MAIN RESULTS

Recall that all algebras we deal with have an identity element. Moreover, note that by an ideal we always mean a 2-sided ideal.

*Remark 2.1.* We recall that if  $A, B$  and  $D$  are  $C^*$ -algebras, and if homomorphisms  $\varphi : A \rightarrow D$  and  $\psi : B \rightarrow D$  are given, then the  $C^*$ -algebra  $A \oplus_D B$  is defined as

$$A \oplus_D B = \{(a, b) \in A \oplus B : \varphi(a) = \psi(b)\}.$$

Let  $A$  be a  $C^*$ -algebra, by [18, Lemmas 10 and 11]  $A$  has a unique maximal commutative ideal  $I$  ( $I$  may be obtained as the intersection of the kernels of all irreducible representations of  $A$  of dimension greater than 1) and a closed ideal  $J$  such that  $I \cap J = \{0\}$  and  $A/J$  is commutative, furthermore,  $A \cong A/J \oplus_{A/(I+J)} A/I$  by  $*$ -isomorphism  $\varphi : A \rightarrow A/J \oplus_{A/(I+J)} A/I$  such that  $\varphi(a) = (a + J, a + I)$ .

**Theorem 2.2.** *Let  $A$  be a unital Banach algebra and  $B$  be a purely infinite  $C^*$ -algebra such that it has a non-zero commutative maximal ideal. Suppose that  $\phi : A \rightarrow B$  is a unital surjective spectrum preserving linear map, then  $\phi$  is a Jordan homomorphism.*

**Proof.** By [18, Lemmas 10 and 11]  $B$  has a unique maximal commutative ideal  $I$  and a closed ideal  $J$  with the properties  $I \cap J = 0$ ,  $B/J$  is commutative and  $B \cong B/J \oplus_{B/(I+J)} B/I$ . Define  $\phi_1 : A \rightarrow B/J$  and  $\phi_2 : A \rightarrow B/I$  by  $\phi_1(a) = \phi(a) + J$  and  $\phi_2(a) = \phi(a) + I$  for every  $a \in A$ .

We can show that  $\phi_1$  and  $\phi_2$  are well-defined and non-zero unital linear maps. For any  $a \in A$ , if  $\phi(a)$  is invertible then  $\phi_1(a)$  and  $\phi_2(a)$  are invertible. Hence  $\phi_1$  and  $\phi_2$  preserve invertibility. Therefore,  $\phi_1$  is continuous homomorphism by Theorem 1.1. (Note that  $B/J$  is commutative  $C^*$ -algebra). Since  $I$  contains every commutative ideal by the hypothesis  $I$  is a commutative maximal ideal in  $B$  (see proof of Lemma 10 in [18]). It is clear that  $B/I$  is purely infinite simple  $C^*$ -algebra, so  $B/I$  has real rank zero by [7, Theorem V.7.4].

We prove that  $\phi_2$  is injective. To prove this, suppose  $a \in A$  such that  $\phi_2(a) = 0$ , so  $\phi(a) \in I$ , and Theorem 1.2, Remarks 1.3 and 2.1

imply that  $\|\phi(a)\| = \|\pi(\phi(a))\| = 0$  for some irreducible representation with dimension greater than 1. On the other hand  $\phi$  is continuous and injective (see [2] and [3]), so  $\phi_2$  is injective. Also, by Theorem 1.5,  $\phi_2^{-1}$  is Jordan isomorphism and hence  $\phi_2$  is Jordan isomorphism.

Now, we show that  $\phi$  is a Jordan homomorphism. We have  $\phi_1(a) = \phi(a) + J$  and  $\phi_2(a) = \phi(a) + I$  for all  $a \in A$ . Hence for every  $a \in A$

$$(1) \quad \phi_1(a)^2 = \phi(a)^2 + J, \quad \phi_2(a)^2 = \phi(a)^2 + I.$$

Also we have

$$(2) \quad \phi_1(a^2) = \phi(a^2) + J, \quad \phi_2(a^2) = \phi(a^2) + I.$$

Since  $\phi_1$  and  $\phi_2$  are Jordan homomorphism, (1) and (2) imply that  $\phi(a)^2 - \phi(a^2) \in J$  and  $\phi(a)^2 - \phi(a^2) \in I$ . But  $I \cap J = 0$ . Therefore,  $\phi(a^2) = \phi(a)^2$  for all  $a \in A$ , that is,  $\phi$  is a Jordan homomorphism. This completes the proof.  $\square$

**Corollary 2.3.** *Let  $A$  be a unital Banach algebra and  $B$  be a purely infinite  $C^*$ -algebra such that it has a non-zero commutative ideal. Suppose that  $\phi : A \rightarrow B$  is a surjective spectrum preserving linear map, so  $\phi$  is a Jordan homomorphism multiplied by an invertible element.*

**Proof.** For  $b \in B$ , denote  $L_b$  the linear map from  $B$  into itself defined by multiplying by  $b$  from the left hand, that is,  $L_b(x) = bx$  for every  $x \in B$ . Let  $\psi = L_{\phi(e)^{-1}} \circ \phi$ , then  $\psi(e) = e$ . As a preserver,  $\psi$  has the same property as  $\phi$  has. It is easy to check  $\psi$  preserves invertibility. Now by Theorem 2.2,  $\psi$  is a Jordan homomorphism and  $\phi = L_{\phi(e)} \circ \psi$ . This completes the proof.  $\square$

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*E-mail address:* r.parvinian@yu.ac.ir